

M 201

Lect #13

E

2-29-12

Let do some mechanics for  $z = f(x,y) = xy^2 + \sin x$

① Find  $\nabla f(x,y)$



② Dir Der at  $(x,y)$



③ Plug in  $(2,1)$

 $\langle 3, 4 \rangle$ 

④ Create a unit vector  $\hat{u}$  in dir of  $\langle 3, 4 \rangle$ .  $\frac{\langle 3, 4 \rangle}{\sqrt{3^2 + 4^2}}$

$$\textcircled{1} \quad \nabla f = \langle f_x, f_y \rangle = \langle 1+y^2 + \cos x, 2xy \rangle$$

$$\textcircled{2} \quad D_{\hat{u}} f = \nabla f \cdot \hat{u} = \langle f_x, f_y \rangle \cdot \hat{u}$$

$$= \langle 1+y^2 + \cos x, 2xy \rangle \cdot \langle u_1, u_2 \rangle$$

$$= (1+y^2 + \cos x) u_1 + 2xy u_2$$

$$\textcircled{3} \quad D_{\hat{u}} f(2,1) = (1+\cos 2) u_1 + 4 u_2$$

$$\hat{u} = \langle u_1, u_2 \rangle$$

$$= \left\langle \frac{3}{5}, \frac{4}{5} \right\rangle$$

$$\textcircled{4} \quad D_{\hat{u}} f(2,1) = (1+\cos 2) \frac{3}{5} + 4 \frac{4}{5} = \frac{18+16}{5} = \frac{34}{5} = 3.4$$

- (1) We seek the direction<sup>in domain</sup> of steepest slope  
of the tan plane at a given point.
- (2) and the slope of steepest slope.  $\nabla \cdot \vec{b} = (\vec{a} \parallel \vec{b})_{\text{cond}}$

Maximize the dir-der. Find the  $\hat{u}$  that will  
Maximize  $D_{\hat{u}} f$ .

$$\begin{aligned} D_{\hat{u}} f &= \overline{\text{grad}} f \cdot \hat{u} = \langle f_x, f_y \rangle \cdot \langle u_1, u_2 \rangle \\ &= |\overline{\text{grad}} f| \cdot |\hat{u}| \cos \theta \quad \begin{matrix} \leftarrow \text{angle between} \\ \hat{u} \text{ & } \overline{\text{grad}} f \end{matrix} \\ &= |\overline{\text{grad}} f(x_1, y_1)| \cos \theta \end{aligned}$$



$D_{\hat{u}} f$  is max when  $\cos \theta = 1$ , so

$$\text{" " " " } \theta = 0$$

" " " "  $\hat{u}$  is chosen in dir of  $\overline{\text{grad}} f$

- ① Answer is  
 $\overline{\text{grad}} f$  is the dir in dom of the steepest  
slope of tan plane ②  $(x_1, y_1)$

② Find steepest slope

$$= D_{\hat{u}} f = D_{\frac{\text{grad } f}{|\text{grad } f|}} f$$

$$= \text{grad } f \cdot \hat{u}$$

$$\hat{a} \cdot \hat{a} = |\hat{a}|^2$$

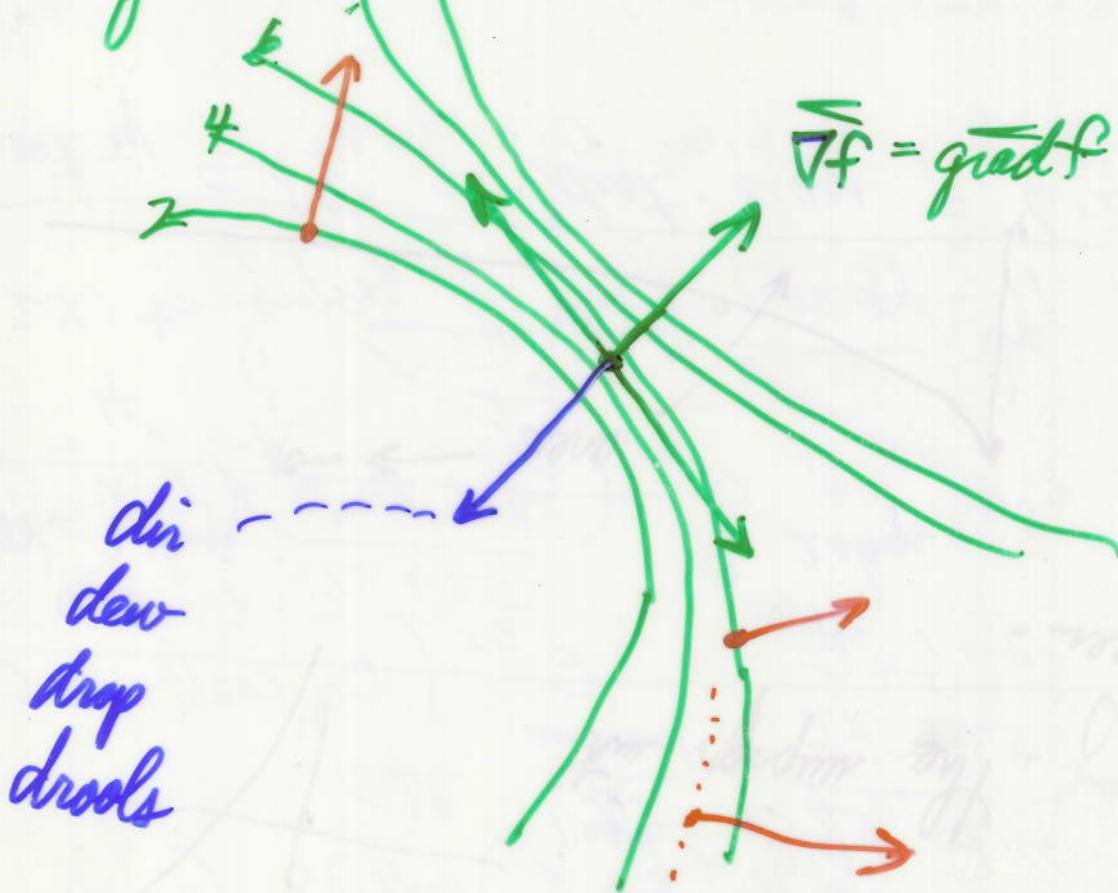
$$= \text{grad } f \cdot \frac{\text{grad } f}{|\text{grad } f|}$$

$$= \frac{\text{grad } f \cdot \text{grad } f}{|\text{grad } f|}$$

$$= \frac{|\text{grad } f|^2}{|\text{grad } f|}$$

$$= |\text{grad } f|$$

Suppose I draw level curves for the surface  $g(p)$



In conclusion

The dir of steepest ascent is  $\text{grad } f$

The slope of steepest ascent is  $|\text{grad } f|$

The  $\text{grad } f$  on the level curves points  $\perp$  to level curves

Recall that we used two forms for surfaces

$$z = f(x, y) \text{ and } F(x, y, z) = 0$$

If we have

$$z = f(x, y), \text{ then } f(x, y) - z = 0 \quad \text{so } F(x, y, z) = f(x, y) - z = 0$$

For a surface like a sphere

$$x^2 + y^2 + z^2 = 16 \text{ we write } F(x, y, z) = x^2 + y^2 + z^2 - 16 = 0$$

We'll show later that for a surface whose eqn is  $F(x, y, z) = 0$ ,

$\overline{\text{grad}} F(x_0, y_0, z_0)$   $\perp$  to the surface.

$$\overline{\text{grad}} F = \langle F_x, F_y, F_z \rangle$$

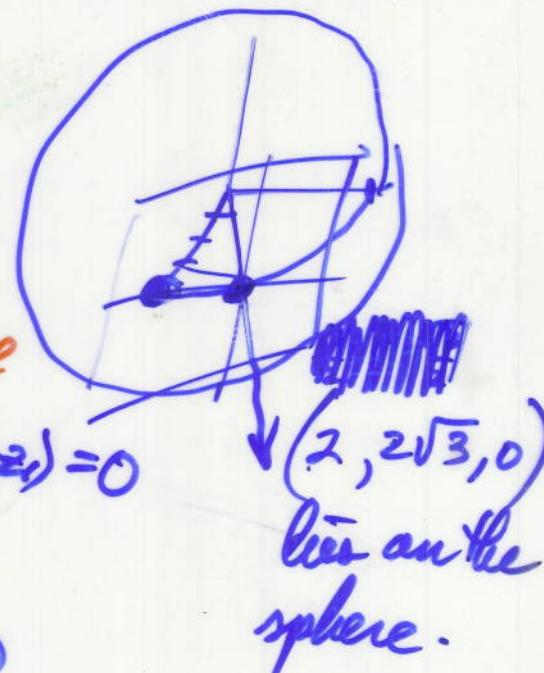
$$\overline{\text{grad}} F = \langle 2x, 2y, 2z \rangle$$

$$\overline{\text{grad}} F(2, 2\sqrt{3}, 0) = \langle 4, 4\sqrt{3}, 0 \rangle \perp \text{sphere}$$

Recall eq of plane:  $a(x-x_0) + b(y-y_0) + c(z-z_0) = 0$

Eq of tan plane @  $(4, 4\sqrt{3}, 0)$

$$4(x-2) + 4\sqrt{3}(y-2\sqrt{3}) + 0(z-0) = 0$$



P6

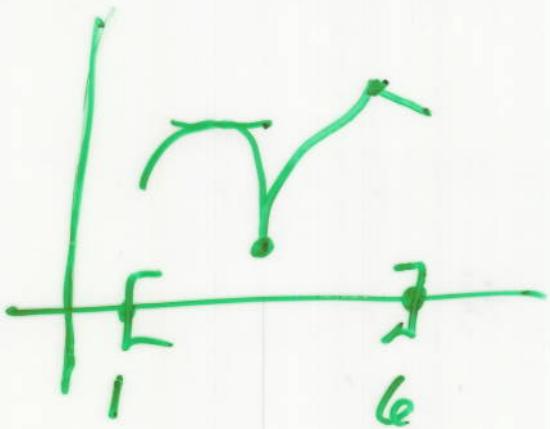
# Recall Max/min Problems (Extrema Problems) (Optimization Problems)

from Calc 1.

Find all relative extrema  
(local)



Find the absolute extrema  
on a close interval



I  $f'(x) \stackrel{\text{set}}{=} 0$   $x$ 's are called  
critical values

II  $f'(x) \stackrel{\text{set}}{=} \text{undefined}$   $x$ 's also  
called CV's

$f''(CP) \text{ pos} \Rightarrow \cup$  rel  
min

neg  $\Rightarrow \cap$  rel  
max

} same as  
here

CP		$y = f(x)$
EP 1		25
CR 2		30
CR 4		10 ← abs min
CV 5		40
EP 6		20 ← abs max

$$\text{Ex } z = f(x,y) = x^4 + y^4 - 4xy$$

$$\text{grad } f \stackrel{\text{set}}{=} \vec{0} \quad \langle f_x, f_y \rangle = \langle 0, 0 \rangle$$

$$\begin{cases} f_x = 4x^3 - 4y \stackrel{\text{set}}{=} 0 \\ f_y = 4y^3 - 4x \stackrel{\text{set}}{=} 0 \end{cases}$$

$\left. \begin{array}{l} y = x^3 \\ x = y^3 \end{array} \right\} \quad x = (x^3)^3$

$$x = x^9$$

$$(x^4)^2 - 1^2$$

$$x^9 - x = 0$$

$$x(x^8 - 1) = 0$$

$$x(x^4 + 1)(x^4 - 1) = 0$$

$$x(x^4 + 1)(x^2 + 1)(x^2 - 1) = 0$$

$$x(x^4 + 1)(x^2 + 1)(x+1)(x-1) = 0$$

$$x = 0, -1, 1 \quad y = 0, -1, 1 \quad \text{resp.}$$

So CP's are  $(0,0), (-1,-1), (1,1)$

No Type II CP's

We create the Discriminant

p 8

$$f_x = 4x^3 - 4y$$

$$f_y = 4y^3 - 4x$$

$$f_{xx} = 12x^2$$

$$f_{xy} = -4$$

$$f_{yx} = -4$$

$$f_{yy} = 12y^2$$

$$\text{Disc}(x, y) = \begin{vmatrix} f_{xx} & f_{xy} \\ f_{yx} & f_{yy} \end{vmatrix} = f_{xx}f_{yy} - f_{xy}^2$$

$$= \begin{vmatrix} 12x^2 & -4 \\ -4 & 12y^2 \end{vmatrix} = 144x^2y^2 - 16$$