

## Counting

**Six Basic Rules:** Conceive of counting as a process (precisely defined).

**Multi-Step** (Product Rule):  $\#(\text{total}) = \text{product of } \#(\text{each step})$

**Multi-Case** (Sum Rule):  $\#(\text{total}) = \text{sum of } \#(\text{each case})$

**Inclusion-Exclusion:**  $\#(A \text{ or } B) = \#(A) + \#(B) - \#(A \& B)$

**Complements:**  $\#(\text{not } A) = \#(\text{all}) - \#(A)$

**(Decision) Tree:** Combine Multi-Step and Multi-Case.

**Special Order:**  $\#(\text{total}) = \#(\text{in special order}) * \#(\text{re-orderings})$

Examples: Bit strings, IP Addresses, Rubik's Cube, Poker Hands.

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**Pigeon-hole Principle:** if  $n$  objects are placed in  $k$  boxes ( $n \geq k$ ), then at least one box contains  $\lceil n/k \rceil$  ( $= n/k$  rounded up) objects.

Example: Among **100** people, there must be **9** with the same birth month.

**Permutations/Combinations:** #ways  $k$  out of  $n$  objects are selected:

**Permutation** (Ordered)

“ $n$  pick  $k$ ”

$${}_n P_k = P(n, k) = \frac{n!}{(n-k)!}$$

**Combination** (UnOrdered)

“ $n$  choose  $k$ ”

$${}_n C_k = C(n, k) = \frac{n!}{(n-k)! \cdot k!}$$

**Identities:**  ${}_n P_0 = 1$

$${}_n P_1 = n$$

$${}_n P_n = n!$$

$${}_n C_k = {}_n C_{n-k}$$

$${}_n C_0 = 1 = {}_n C_n$$

$${}_n C_1 = n = {}_n C_{n-1}$$

**Pascal's Triangle:**  ${}_{n+1} C_k = {}_n C_k + {}_n C_{k-1}$

**Binomial Theorem:**  $(a + b)^n = \sum_k {}_n C_k a^k b^{n-k}$

**Corollary:**  $2^n = \sum {}_n C_k$  ( $a=1=b$ )

$$0 = \sum (-1)^k {}_n C_k$$
 ( $a=1=-b$ )

## Propositional & Predicate (Formal) Logic

**Propositions** are declarative sentences which are definitely either T or F.

Propositional variables, **p, q, ...** represent unspecified propositions.

The basic **propositional operators** are:

$\neg$  NOT

$\wedge$  AND, &

$\vee$  OR

$\rightarrow$  IMPLIES, IF THEN

$\leftrightarrow$  IFF, IF AND ONLY IF

### Basic Truth Tables:

<b>p</b>	<b><math>\neg</math>p</b>
T	F
F	T

<b>p</b>	<b>q</b>	<b><math>p \wedge q</math></b>
T	T	T
T	F	F
F	T	F
F	F	F

<b>p</b>	<b>q</b>	<b><math>p \vee q</math></b>
T	T	T
T	F	T
F	T	T
F	F	F

<b>p</b>	<b>q</b>	<b><math>p \rightarrow q</math></b>
T	T	T
T	F	F
F	T	T
F	F	T

<b>p</b>	<b>q</b>	<b><math>p \leftrightarrow q</math></b>
T	T	T
T	F	F
F	T	F
F	F	T

**Precedence Order:**  $\neg$   $\wedge$   $\vee$   $\rightarrow$   $\leftrightarrow$  (& parentheses)

**Also:** + XOR, "either or" | NAND "not and" ↓ NOR "not or"

Given a proposition of the form:  **$p \rightarrow q$  : (positive);**

**$q \rightarrow p$**  is the converse;

**$\neg p \rightarrow \neg q$**  is the inverse;

**$\neg q \rightarrow \neg p$**  is the contrapositive .

### English Equivalents for : “ $p \leftrightarrow q$ ”

$p$  if and only if  $q$                       if  $p$ , then  $q$ , and conversely                       $p$  iff  $q$

$p$  is necessary and sufficient for  $q$  (and vice versa)

### English Equivalents for : “ $p \rightarrow q$ ”

$p$  implies  $q$                       if  $p$ , then  $q$                       if  $p$ ,  $q$

$p$  only if  $q$                        $q$  if  $p$                        $q$  when  $p$

$q$  unless not  $p$                        $q$  whenever  $p$                        $q$  follows from  $p$

$p$  is sufficient for  $q$                        $q$  is necessary for  $p$

### Logical Equivalences

$\neg\neg p \equiv \neg(\neg p) \equiv p$                       Double Negation

$p \wedge T \equiv p$                        $p \vee F \equiv p$                       Identity

$p \vee T \equiv T$                        $p \wedge F \equiv F$                       Domination

$p \vee p \equiv p$                        $p \wedge p \equiv p$                       Idempotent

$p \vee \neg p \equiv T$                        $p \wedge \neg p \equiv F$                       Negation

$p \wedge q \equiv q \wedge p$                        $p \vee q \equiv q \vee p$                       Commutative

$(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$                        $(p \vee q) \vee r \equiv p \vee (q \vee r)$                       Associative

$p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$                        $p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$                       Distributive

$\neg(p \vee q) \equiv (\neg p \wedge \neg q)$                        $\neg(p \wedge q) \equiv (\neg p \vee \neg q)$                       DeMorgan

$p \vee (p \wedge q) \equiv p$                        $p \wedge (p \vee q) \equiv p$                       Absorption

$p \rightarrow q \equiv \neg p \vee q$                        $p \wedge q \equiv \neg(p \rightarrow \neg q)$

$p \rightarrow q \equiv \neg q \rightarrow \neg p$                       Contrapositive

$\neg(p \rightarrow q) \equiv p \wedge \neg q$                       Conditional DeMorgan

$\neg(p \leftrightarrow q) \equiv p \leftrightarrow \neg q$                       Biconditional DeMorgan

$p \leftrightarrow q \equiv q \leftrightarrow p \equiv (p \rightarrow q) \wedge (q \rightarrow p)$                       Necessary & Sufficient

## Predicates (Propositional Functions)

$P(x)$  “proposition” with a variable, denoted  $x$ , in some Domain

$P(x,y,\dots)$  “proposition” with 2 or more variables in 1 or more Domains

When values are assigned to the variables in a propositional function, it “evaluates to” – yields as its output - a proposition.

**Quantifiers** applied to propositional functions yield propositions.

$\forall xP(x) \equiv$  for every  $x$  (for all  $x$ ),  $P(x)$  [is true]

$\exists xP(x) \equiv$  for some  $x$  (there exists an  $x$ ),  $P(x)$  [is true]

$\exists!xP(x) \equiv$  for a unique  $x$  (there exists a unique  $x$ ),  $P(x)$  [is true]

Quantifiers have highest precedence

**Quantifiers bind variables within a certain scope, which extends until the first “possible” end which defines a propositional function.**

## DeMorgan for Quantifiers

$$\neg \forall x(P(x)) \equiv \exists x(\neg P(x))$$

$$\neg \exists x(P(x)) \equiv \forall x(\neg P(x))$$

## Associative for Quantifiers

$$\forall x(P(x) \wedge Q(x)) \equiv \forall xP(x) \wedge \forall xQ(x) \quad (\text{not for } \vee)$$

$$\exists x(P(x) \vee Q(x)) \equiv \exists xP(x) \vee \exists xQ(x) \quad (\text{not for } \wedge)$$

$$\forall x(P(x) \rightarrow Q(x)) \equiv \forall x(\neg P(x) \vee Q(x))$$

$$\text{But } \neg (\forall x(P(x) \rightarrow Q(x))) \equiv \forall xP(x) \rightarrow \forall xQ(x)$$

## Nested Quantifiers

$$\forall x \forall y P(x,y) \equiv \forall y \forall x P(x,y)$$

$$\exists x \exists y P(x,y) \equiv \exists y \exists x P(x,y)$$

$$\text{But: } \neg (\forall x \exists y P(x,y)) \equiv \forall y \exists x \neg P(x,y)$$

$$\neg (\exists x \forall y P(x,y)) \equiv \exists y \forall x \neg P(x,y)$$

## Formal Logical Inference

<u>Rule</u>	<u>Tautology</u>	<u>Latin Name</u>
$p$ $\underline{p \rightarrow q}$ $\gg q$	$[p \wedge (p \rightarrow q)] \rightarrow q$	Modus Ponens
$\neg q$ $\underline{p \rightarrow q}$ $\gg \neg p$	$[\neg q \wedge (p \rightarrow q)] \rightarrow \neg p$	Modus Tollens
$p \rightarrow q$ $\underline{q \rightarrow r}$ $\gg p \rightarrow r$	$[(p \rightarrow q) \wedge (q \rightarrow r)] \rightarrow (p \rightarrow r)$	Syllogism
$p \vee q$ $\underline{\neg p}$ $\gg q$	$[(p \vee q) \wedge \neg p] \rightarrow q$	Disjunctive Syllogism
$p \vee q$ $\underline{\neg p \vee r}$ $\gg q \vee r$	$[(p \vee q) \wedge (\neg p \vee r)] \rightarrow (q \vee r)$	Resolution
$\underline{p}$ $\gg p \vee q$	$p \rightarrow (p \vee q)$	Addition
$\underline{p \wedge q}$ $\gg p$	$(p \wedge q) \rightarrow p$	Simplification
$p$ $\underline{q}$ $\gg p \wedge q$	$[(p) \wedge (q)] \rightarrow (p \wedge q)$	Conjunction

## Types of Logical Proof

**Direct Proof:** To prove

(i)  $p \rightarrow q$  is true: assume  $p$  is true, argue why  $q$  must be true.

(ii)  $\forall x P(x) \rightarrow Q(x)$ : assume for any  $x$ ,  $P(x)$ , argue why  $Q(x)$ .

Example: If integer  $n$  is odd, then  $n^2$  is odd.

Example: The difference between consecutive perfect squares is odd.

**Contraposition Proof (Indirect):** To prove

(i)  $p \rightarrow q$  is true: assume  $\neg q$  is true, argue why  $\neg p$  must be true.

(ii)  $\forall x P(x) \rightarrow Q(x)$ : assume for any  $x$ ,  $\neg Q(x)$ , argue why  $\neg P(x)$ ;  
or assume for no  $x$ ,  $Q(x)$ , argue why no  $x$ ,  $P(x)$ .

Example: If  $n^2$  is even, then  $n$  is even.

**Contradiction Proof (Indirect):** To prove

$p$  is true: assume  $\neg p$  and some cleverly chosen  $r$  are both true, argue why this implies  $r \wedge \neg r$  are true (a contradiction), so  $\neg p$  is false.

Example:  $\sqrt{2}$  is irrational.

**Exhaustive Proof:** – all inclusive **case by case** analysis.

**Without Loss of Generality** – use a simple case which subsumes all.

Example: Integer  $n$  is odd if and only if  $n+1$  is even.

**Existence Proof:** - may be **direct** (find an example) or **indirect**.

Example: There exist irrational numbers,  $x, y$ , with  $x^y$  rational.

## Sets, Functions and Cardinality

A set is a collection of elements. An element is a member of a set.

$x \in S$  means  $x$  is an element of  $S$ .

Sets are lists  $\{\dots\}$  or Truth Sets  $\{x \mid P(x)\}$  or one of these:

**Null Set**  $= \emptyset = \{ \}$  has no elements;

**Naturals**  $\mathbf{N}$ ;  $\mathbf{N}_n = \{ 1, 2, 3, \dots, n \}$ ;

**Integers**  $\mathbf{Z}$ ;  $\mathbf{Z}_n = \{ 0, 1, 2, \dots, n - 1 \}$ ;

**Rationals**  $\mathbf{Q}$ ; **Reals**  $\mathbf{R}$ ; **Complex #s**  $\mathbf{C}$  ;

$\mathbf{N}^+ = \mathbf{Z}^+, \mathbf{Q}^+, \mathbf{R}^+$  just the positive numbers in each.

Given two sets,  $A$  and  $B$  :

**Equal:**  $A = B \equiv (x \in A \leftrightarrow x \in B)$

**Subset:**  $A \subseteq B \equiv (x \in A \rightarrow x \in B)$

**Proper Subset:**  $A \subset B \equiv (A \subseteq B \wedge \neg A = B)$

The set of all subsets of  $S$  is  $P(S) = 2^S =$  Power Set :  $\forall S (\emptyset \in P(S))$ .

Given two sets,  $A$  and  $B$ :

**Union:**  $A \cup B = \{ x \mid x \in A \vee x \in B \}$

**Intersection:**  $A \cap B = \{ x \mid x \in A \wedge x \in B \}$

**Difference:**  $A - B = \{ a \mid a \in A \wedge \neg a \in B \}$

**Complement (universal  $U$ ):**  $\overline{A} = A^c = U - A$

**Symmetric Difference:**  $A \Delta B = (A - B) \cup (B - A)$

**Product (ordered pairs):**  $A \times B = \{ (a,b) \mid a \in A \wedge b \in B \}$

De Morgan, Associative and Distributive Laws (prove with Venn Diagrams):

$(A \cap B)^c = (A^c \cup B^c)$   $(A \cup B)^c = (A^c \cap B^c)$

$(A \cup B) \cup C = A \cup (B \cup C)$   $(A \cap B) \cap C = A \cap (B \cap C)$

$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$   $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

For  $X$  finite, the **cardinality** of  $X$  is:  $|X| = \#(X) =$  number of elements in  $X$ .

$\#(A \cup B) = \#(A) + \#(B) - \#(A \cap B)$

$\#(A - B) = \#(A) - \#(A \cap B)$

$\#(P(A)) = 2^{\#(A)} = 2^{\#(A)}$

A **relation** from a set  $X$  to a set  $Y$ ,  $R: X \rightarrow Y$ , is a rule which assigns to some  $x$ 's some  $y$ 's. Alternatively,  $R \subseteq X \times Y$ .

$X$  is called the **Domain**.  $Y$  is called the **Codomain**.

A **function** from a set  $X$  to a set  $Y$ ,  $f: X \rightarrow Y$ , is a relation which assigns to every  $x$  precisely one  $y=f(x)$ . I.e.  $\forall x \in X \exists ! y \in Y (y=f(x))$ .

**Graph** of  $y=f(x)$  is  $G(f) = \{ (x,y) \in X \times Y \mid y = f(x) \} \subseteq X \times Y$

**Image** of  $A \subseteq X$  is  $f(A) = \{ y \in Y \mid \exists x \in A (y=f(x)) \}$ . **Range** of  $f$  is  $f(X)$ .

**Preimage** of  $B \subseteq Y$  is  $f^{-1}(B) = \{ x \in X \mid \exists y \in B (y=f(x)) \}$ .

$f: X \rightarrow Y$  is: **injective or 1-1** iff  $\forall x_1, x_2 \in X (x_1 \neq x_2 \rightarrow f(x_1) \neq f(x_2))$ ;

**surjective or onto** iff  $\forall y \in Y \exists x \in X (y=f(x))$  iff  $f(X)=Y$ ;

**bijective** iff it is injective and surjective.

$f: X \rightarrow Y$  and  $g: Y \rightarrow Z$ , the **composition** is:  $g \circ f: X \rightarrow Z$  is  $g(f(x))$ .

**Theorem:**  $f: X \rightarrow Y$ ,  $g: Y \rightarrow Z$ ,  $h: Z \rightarrow W$ :  $(h \circ g) \circ f(x) = h \circ (g \circ f)(x) = h(g(f(x)))$ ;

$f: X \rightarrow Y$  1-1  $\wedge$   $g: Y \rightarrow Z$  1-1  $\rightarrow$   $g \circ f: X \rightarrow Z$  1-1;

$f: X \rightarrow Y$  onto  $\wedge$   $g: Y \rightarrow Z$  onto  $\rightarrow$   $g \circ f: X \rightarrow Z$  onto.

$f: X \rightarrow Y$  and  $g: Y \rightarrow X$ , are **inverses** (Write  $g$  as  $f^{-1}$ ) if

$\forall x \in X (g \circ f(x) = x) \wedge \forall y \in Y (f \circ g(y) = y)$ .

**Theorem:** (i)  $\exists f^{-1} \leftrightarrow f$  is **bijective**; (ii)  $(f \circ g)^{-1} = g^{-1} \circ f^{-1}$ .

### Numeric Valued Functions

For two functions,  $f, g: X \rightarrow Y$ , with  $Y$  a set of numbers, define:

$f \pm g(x) = f(x) \pm g(x)$      $f \cdot g(x) = f(x) \cdot g(x)$      $f/g(x) = f(x)/g(x)$ .

$f: \mathbf{R} \rightarrow \mathbf{R}$  is **increasing** iff  $\forall x_1, x_2: x_1 < x_2 \rightarrow f(x_1) < f(x_2)$ .

Increasing functions,  $f, g: \mathbf{N} \rightarrow \mathbf{R}^+$ , share **order of growth**  $\Theta(f) = \Theta(g)$

iff  $\frac{f(n)}{g(n)}$  approaches a non-zero limit as  $n$  approaches infinity.

**Standard Growths:**  $\Theta(1) = \Theta(\log_n(n)) = \Theta(\sqrt[n]{n}) < \Theta(\log_3(n)) = \Theta(\log_2(n)) < \Theta(\sqrt[3]{n}) < \Theta(\sqrt{n}) < \Theta(n) < \Theta(n^2) < \Theta(n^3) < \Theta(2^n) < \Theta(3^n) < \Theta(n!) < \Theta(n^n)$ .

Order of growth is used to measure the complexity or runtime of algorithms.



**Important functions with codomain the Integers,  $\mathbf{Z}$  :**

$n! = n(n-1)(n-2)\dots(2)(1) = n$  factorial

$\lceil x \rceil =$  ceiling of  $x = x$  rounded up = least integer  $\geq x$

$\lfloor x \rfloor =$  floor of  $x = x$  rounded down = largest integer  $\leq x$

**Special Types of Functions:**

**Sequence:**  $a_k: \mathbf{N} \rightarrow \mathbf{R}$  or  $a_k: \mathbf{N}_n \rightarrow \mathbf{R}$

**Series (for a sequence):**  $\sum_{k=0} a_k = a_0 + a_1 + \dots$ , or  $\sum_{k=1} a_k$  or  $\sum_k a_k$

**Matrix** (n by m):  $M_{ij}: \mathbf{N}_n \times \mathbf{N}_m \rightarrow \mathbf{R}$ , i for rows, j for columns.

**Matrix multiplication** (n by p  $\times$  p by m) is:  $(M \times N)_{ij} = \sum_k M_{ik} \cdot N_{kj}$

**Counting or “How Many?”**

**Definition:**  $\exists$  bijection  $X \leftrightarrow Y$  iff  $X$  and  $Y$  have the same cardinality:

$X$  is finite iff  $\#(X) = \#(\mathbf{N}_n) = n$ ;

$X$  is countably infinite iff  $\#(X) = \#(\mathbf{N}) = \#(\mathbf{Z}) = \#(\mathbf{Q})$ ;

the real numbers,  $\mathbf{R}$ , are uncountably infinite (Cantor).

Given a set,  $X$ , with  $\#(X) = n$ :

$\#(P(X)) = \#(\text{subsets of } X) = 2^n$  ;

$\#\{1-1 \text{ functions } X \rightarrow X\} = n!$  ;

$\#\{\text{functions } X \rightarrow X\} = n^n$  ;

$\#\{\text{relations } X \rightarrow X\} = 2^{n^2}$  .

**Operations and Abstract Algebra:**

A **binary operation** on a set  $G$  is a function,  $\circ: G \times G \rightarrow G$  .

A **group** is a set with an associative operation with identity and inverses.

A **ring** is a set with two associative operations, “+,” “•”, with identities, “0,1”:  
where “•” distributes over “+” ( $r \cdot (s+t) = r \cdot s + r \cdot t$  &  $(s+t) \cdot r = s \cdot r + t \cdot r$ );  
and under “+” it is a **commutative** group.

A **field** is a **ring** where under “•” it is a **commutative** group excluding “0”.

## Integers and Divisibility

“a divides b” iff  $a|b$  iff  $\exists k (ak = b)$ .

**Division Theorem:**  $\forall a \forall d > 0 \exists !q \exists !r (0 \leq r < d) \wedge (a = dq + r)$ . Define  $q$ =quotient = “a div-d” and  $r$ =remainder = “a mod-d” (see algorithm).

**Congruence:**  $a \equiv b \pmod{d} \leftrightarrow (a \pmod{d}) = (b \pmod{d}) \leftrightarrow d | (a-b)$ .

**Laws of Congruence:** If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

- (i)  $(a+c) \equiv (b+d) \pmod{m}$ ;                      (ii)  $(a \cdot c) \equiv (b \cdot d) \pmod{m}$ ;
- (iii)  $(a^c) \equiv (b^c) \pmod{m}$  for  $c > 0$ ;                      BUT:
- (iv)  $c^a \not\equiv c^b \pmod{m}$  and  $a^c \not\equiv b^d \pmod{m}$ .

Corollary:  $\mathbf{Z}_m = \{0, 1, \dots, m-1\}$  is a **Commutative Ring** under Addition (+) and multiplication ( $\cdot$ ) “mod-m”, for  $m > 1$  ;  
Always with additive inverses:  $k + -k \equiv 0 \pmod{m}$  ( $-k = m-k$ ) ;  
Sometimes with multiplicative inverses:  $k \cdot k^{-1} \equiv 1 \pmod{m}$ .

**Base b:** Using  $b > 1$  “digits”,  $\{0, 1, \dots, b-1\}$ , express integers:  $\sum d_k b^k$  .

Convert  $( )_b$  to  $( )_{10}$ : do the arithmetic implied by the sum above.

Convert  $( )_{10}$  to  $( )_b$ : repeatedly divide by b, use remainders.

Convert binary= $( )_2$  to Octal= $( )_8$  to hex= $( )_{16}$  : group bits by 3’s or 4’s.

Arithmetic Algorithms base b are “the same” as usual ones base 10.

( <http://www.javascripter.net/math/calculators/100digitbigintcalculator.htm> )

**Primes:**  $p$  **prime** iff  $(p > 1) \wedge (\forall n, m (p = nm \rightarrow p = n \vee p = m))$  ;

**Mersenne prime** =  $2^p - 1$ , with  $p$  prime;

$n$  is **perfect** iff  $n$  is sum of its proper divisors (  $6 = 1 + 2 + 3$  ).

**P-Theorems:** (i) Every integer  $> 1$  is the product of primes (see algorithm);

(ii) There are an Infinity of Primes with  $\#(\text{primes} \leq n) \approx n / \ln(n)$ ,  $n \rightarrow \infty$ ;

(iii) If  $p$  prime  $\wedge p | nm$ , then  $p | n \vee p | m$  ;

(iv) If  $p \neq q$  primes  $\wedge n \equiv 1 \pmod{p} \wedge n \equiv 1 \pmod{q}$ , then  $n \equiv 1 \pmod{pq}$  ;

(v)  $(2^p - 1)2^{p-1}$  is **Perfect** iff  $(2^p - 1)$  is a **Mersenne prime**.

### Greatest Common Divisor & Least Common Multiple:

greatest common divisor =  $\gcd(a,b) = \text{'}\cap\text{'}$ (prime factors)

least common multiple =  $\text{lcm}(a,b) = \text{'}\cup\text{'}$ (prime factors)

$a,b$  are relatively prime iff  $\gcd(a,b) = 1$ .

G-Theorems: (i)  $ab = \gcd(a,b) \cdot \text{lcm}(a,b)$  &  $\gcd(a,b) = \gcd(b,a \bmod b)$ ;

(ii) For  $a,b > 0$ ,  $a^{-1} \bmod b$  exists iff  $\gcd(a,b) = 1$ ;

(iii)  $\mathbf{Z}_p = \{0,1,\dots,p-1\}$  is a **Field** ( $\exists x^{-1} \bmod p$  for  $x \neq 0$ ) ;

and hence may solve equations by usual algebraic methods.

Unsolved Conjectures: Infinity of Mersenne Primes?

Odd Perfect Numbers?

Twin Primes?

Goldbach Conjecture?

Fermat's Little Theorem: If  $p$  prime and  $\neg p|a$ , then  $(a^{p-1} \equiv 1) \bmod p$ .

(Trick:  $(241^{241}) \bmod 11 = ((241^{10})^{24}) \bmod 11 \cdot (241) \bmod 11 = 1 \cdot 10 = 10$ .)

### Encryption by Simple Cipher:

(i) blocks of one or more characters assigned numbers:  $\mathbf{Z}_n$ ;

(ii) encrypt by:  $X \rightarrow C = X+K \bmod n$  ( $K$  is private key);

(iii) decrypt by:  $X \rightarrow X-K \bmod n$ .

### Public Key Encryption (Cocks, RSA=Rivest, Shamir, Adleman):

Let  $p,q$  primes,  $n=pq$ ,  $e$  with  $\exists d=e^{-1} \bmod ((p-1)(q-1))$ , and  $X < n$  :

if  $C = X^e \bmod n$ , then  $X = C^d \bmod n$ .

**Proof:**  $C^d \bmod n = (X^e \bmod n)^d \bmod n = X^{ed} \bmod n$  (by LofC(iii)) =

$X^{1+k(p-1)(q-1)} \bmod pq$  ( by  $n=pq$  &  $d = e^{-1} \bmod (p-1)(q-1)$  ) =

$X \cdot (X^{k(p-1)(q-1)} \bmod pq) \bmod pq =$  (by LofC(ii) &  $X < n$ )

$(X \cdot 1) \bmod n$  ( by FLT for  $p-1$  &  $q-1$  and PT(iv) ) =  $X$  ( $X < n$ ). ■

**Protocol:** **R:=** Receiver and **S:=** Sender

**R:** Choose public key  $p,q,e$ . Transmit only  $n (=pq)$  &  $e$  to **S:**

**S:** Break message into blocks,  $B$ , with maximum value  $< n$

**S:** Encrypt block as  $C = B^e \bmod n$  & transmit  $C$  to **R:**

**R:** Decrypt block as  $B = C^d \bmod n$  ( $d=e^{-1} \bmod (p-1)(q-1)$ )

and re-assemble blocks into message.

## Induction and Recursion

**Induction:** To prove a predicate,  $P(n)$ , true for all natural numbers:

(i) Prove(or Verify):  $P(1)$  is true ( recommend do  $P(2)$  too );

(ii) Prove:  $P(k) \rightarrow P(k+1)$  for  $k \geq 1$

(Assume  $P(n)$  is true and then use this to show  $P(n+1)$  is true, too).

$$\text{Proved: } \sum_1 k = \frac{n(n+1)}{2} \qquad \sum_1 k^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_1 k^3 = \frac{n^2(n+1)^2}{2^2} \qquad \sum_0 r^k = \frac{r^{n+1} - 1}{r - 1}$$

### Strong Induction:

(i) Prove(or Verify):  $P(1)$  is true;

(ii) Prove:  $P(1) \wedge P(2) \wedge \dots \wedge P(k) \rightarrow P(k+1)$  for  $k \geq 1$ .

**Bell's Theorem:** For any lattice polygon (vertices are integers) in the plane, let  $B = \#$  boundary lattice points,  $I = \#$  interior lattice points,

then  $\text{Area} = I + B/2 - 1$  .

**Sequences:** A sequence is a function:  $a_n : \mathbf{N} \rightarrow \mathbf{R}$

**Explicit Definition:**  $a_n = f(n)$

**Recursive Definition:**  $a_0$  or  $a_1$  is specified,  $a_{n+1} = f(a_n)$

**Algorithmic Definition:** a procedure to calculate the terms

**Example: Arithmetic:**  $a_{n+1} = a_n + d$  ; ( $d =$  common difference)

**Geometric:**  $g_{n+1} = r \cdot g_n$  ; ( $r =$  common ration)

**Fibonacci:**  $f_{n+1} = f_n + f_{n-1}$  ; ( $f_1=?$ ,  $f_2=?$ , two starts)

**Logistic:**  $p_{n+1} = r \cdot p_n \cdot (1 - p_n)$  ; (fecundity rate  $r < 4$ )

**Pseudorandom:**  $x_{n+1} = (a \cdot x_n + b) \bmod m$  .

Recursive sequences often approach limits as  $n \rightarrow \infty$  :

**Geometric:**  $g_n \rightarrow 0$  for  $|r| < 1$

**Logistic:**  $p_n \rightarrow (r - 1)/r$  for  $1 \leq r \leq 3$  (? for  $r > 3$  & **Chaos** for  $r \rightarrow 4$  )

**"3n + 1" Conjecture:** if  $x_{n+1} = \{ x_n/2 \text{ if even; } 3x_n + 1 \text{ if odd } \}$ ,

then does the  $x_n$  always terminate in 4,2,1 loop?.

**Recursive Procedures:** A procedure which “calls” itself.

```
Example:  proc      factorial( n, int ≥ 0 )
          if n = 0   then      x := 1
          else      x := n × factorial(n-1)
          return(x)
```

**Recursive Structures** are defined by a Basic Step and a Recursive Step.

**String:**  $\Sigma$  = Alphabet (Set of Letters) and  $\Sigma^*$  = Set of Strings

**BS:** empty string,  $\lambda \in \Sigma^*$  ;

**RS:** if  $a \in \Sigma$  and  $\omega \in \Sigma^*$ , then  $\omega a \in \Sigma^*$  .

**Length =  $L(\theta)$  = { 0 for  $\theta = \lambda$  or  $L(\omega) + 1$  for  $\theta = \omega a$  }**

**Connected Graph (CG):** vertices connected by edges.

**BS:** (single vertex) • is a CG;

**RS:** given a CG, to build a new CG:

1. add a new edge connecting it to a new vertex; or,
2. add a new edge connecting 2 existing vertices .

**Simple Graph (SG):** CG without loops or multiple edges.

**BS:** (single vertex) • is a SG;

**RS:** given a SCG, to build a new SG:

1. add a new edge connecting it to a new vertex; or,
2. add a new edge connecting 2 non-adjacent vertices.

**Planar Graph (PG):** SG drawable in the plane without crossing edges.

( RS2 for simple SG require the new edge not cross existing edges).

**Tree(Rooted) :** SG (always PG) without any “circuits” or “closed paths”.

**BS:** (single vertex) • is a Tree (the root);

**RS:** given a Tree, to build a new Tree (with same root) by adding a new edge connecting an existing vertex to a new vertex.

**Rooted Binary Tree (BT):** (Hard to define non-recursively)

**BS:** (single vertex) • is a BT (the root)

**RS:** given two BTs,  $T_1$  &  $T_2$ , to build a new one,  $T_1+T_2$ , by adding a new root with two edges connecting it to the roots of  $T_1$  &  $T_2$  .

## Probability

**Classical probability space** is a finite set,  $S$ , of the equi-likely **outcomes** of a **random process**. An **event**,  $E$ , is a subset of  $S$ , where the **probability of an event** is:  $\text{Prob}(E) = P(E) = \frac{\#(E)}{\#(S)}$ .

**Flip a fair coin three times:**

$$S = \{ \langle HHH \rangle, \langle HHT \rangle, \langle HTH \rangle, \langle HTT \rangle, \langle THH \rangle, \langle THT \rangle, \langle TTH \rangle, \langle TTT \rangle \}$$

$$\text{Prob}(2 \text{ heads}) = 3/8 = 0.375.$$

**Roll two fair dice:**

$$S = 36 \text{ ordered pairs } \{(1,1), (1,2), \dots, (1,6), (2,1), \dots, (6,6)\}$$

$$\text{Prob}(\text{Sum} = 4) = \text{Prob}\{(1,3), (2,2), (3,1)\} = 3/36 = 1/12.$$

**4 of a kind at 5-card poker:**

$$\#(S) = \#(\text{All possible deals}) = {}_{52}C_5 ; \quad \#(4\text{-kinds}) = {}_{13}C_1 {}_4C_4 {}_{48}C_1 ;$$

$$\text{Probability} = \frac{(13 \cdot 1 \cdot 48) \cdot (5 \cdot 4 \cdot 3 \cdot 2 \cdot 1)}{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48} = \frac{1}{4165}$$

**Law of Large Numbers:** In a (valid) classical probability space, if  $N$  **trials** of the random process are done, then the limiting relative frequency of an event is the probability:  $\text{Prob}(E) = \lim_{N \rightarrow \infty} \frac{\#(E \text{ occurs})}{N}$

**General probability space** is a finite set,  $S$ , of **outcomes** of a random process and a function:  $p: S \rightarrow R^+$ , satisfying:  $\sum p(x) = 1$ ; where the **probability of an event** is:  $\text{Prob}(E) = P(E) = \sum_{x \in E} p(x)$ .

The “ $p$ ” is usually computed experimentally as the relative frequency. In the Classical situation,  $p(x) = 1/\#(S)$ .

**Conditional Probability and Independence:**

**Conditional Probability of E given F** is:  $P(E|F) = P(E \cap F) / P(F)$ ;

**E & F are Independent** if:  $P(E|F) = P(E)$  or  $P(E \cap F) = P(E)P(F)$ ;

note that if **E & F** are independent, then  $P(E|F) = P(E)$ .

## Relations

A (binary) **relation between** sets **A** and **B** is  $R \subseteq A \times B$ . Write  $(a,b) \in R$  as  $aRb$ .  $\emptyset$  and  $A \times B$  are trivial relations. If  $A = B$ ,  $R$  is **relation on A**.

An **N-ary relation (among)** is  $R \subseteq A_1 \times A_2 \times \dots \times A_n = \prod A_i$ . N-ary relations, viewed as **tables**, are the foundation of relational databases.

For  $R_1, R_2 \subseteq A \times B$ , each of these 4 is also a relation  $\subseteq A \times B$ :

<b>Union:</b>	$R_1 \cup R_2$
<b>Intersection:</b>	$R_1 \cap R_2$
<b>Difference:</b>	$R_1 - R_2$ and $R_2 - R_1$ .

For  $R \subseteq A \times B$ , define: **not-R** =  $\overline{R} = A \times B - R$  (complement of  $R$ ).

For  $R_1 \subseteq A \times B$ ,  $R_2 \subseteq B \times C$ , define:

<b>Composition</b>	$R_2 \circ R_1 \subseteq A \times C$ as $\{ (a,c) \mid \exists b \in B ( aR_1b \wedge bR_2c ) \}$
<b>B-join</b>	$R_1 \times_B R_2 \subseteq A \times B \times C$ as $\{ (a,b,c) \mid ( aR_1b \wedge bR_2c ) \}$
<b>Product</b>	$R_1 \times R_2 \subseteq A \times B \times B \times C$ .

**Relations on A:** A relation  $\approx \subseteq A \times A$ , is:

**Reflexive** iff  $\forall a \in A ( a \approx a )$  ( i.e. the diagonal,  $\Delta = \{ (a,a) \} \subseteq R$  )  
**Symmetric** iff  $\forall a,b \in A ( a \approx b \leftrightarrow b \approx a )$   
**Transitive** iff  $\forall a,b,c \in A ( a \approx b \wedge b \approx c \rightarrow a \approx c )$   
**Equivalence relation** iff it is reflexive, symmetric and transitive.

Examples: **(i)  $a \equiv b \pmod p$**  (congruence) is an equivalence relation on  $\mathbf{Z}$   
**(ii)  $(a,b) \approx (c,d)$  iff  $ad=bc$**  is an equivalence relation on  $\mathbf{Z} \times \mathbf{Z}^+$   
 (this is the precise definition of the rational numbers)

Proposition: If  $\#(A) = n$ ; then:  $\#(\text{relations on } A) = 2^{n \times n} = 2^n \times 2^n$ ;  
 $\#(\text{reflexive relations on } A) = 2^{(n^2 - n)}$ ;  
 $\#(\text{symmetric, transitive or equivalence relations on } A) = ?$ .

An equivalence relation,  $\approx$ , on **A partitions A** into a collection of disjoint subsets called **equivalence classes**,  $[a] = \{ b \in A \mid a \approx b \}$ .

The set of equivalence classes of  $\approx$  is the **quotient set:**  $A / \approx$ .

## Graph Theory

**Graph:** vertices and edges joining 2 vertices (ends).

**Di-graph:** each edge has a direction (will not discuss in detail).

**Weighted (Di)Graph:** each edge has a numeric weight.

**Multi-edges:** > 1 edge joining same pair of vertices.

**Loop:** an edge joining a vertex to itself.

**Simple Graph:** has no loops and no multi-edges.

**Complete Graph:** precisely one edge joins each pair of vertices.

**Adjacent vertices:** have an edge joining them.

**Adjacent edges:** share a common vertex.

**Path:** a sequence of adjacent vertices without repeated edges.

**Circuit:** a closed path (begins and ends at the same vertex).

**Connected Graph:** any two vertices are connected by a path.

A graph is the disjoint “union” of its **connected components**.

**Bridge:** edge which disconnects the graph if removed.

**Hair:** edge with a terminal end (a vertex with only one edge).

**Connected Digraphs** are complicated by directions.

**Tree:** connected simple graph without any circuits.

**DiTree or Directed Tree:** tree with directed edges.

**Rooted Tree:** directed Tree, with a “beginning” vertex (root).

### **Standard Simple Graphs:**

$L_n$  = Linear with  $n$  edges

$C_n$  = Circle with  $n$  edges

$S_n$  = Star with  $n+1$  vertices

$W_n$  = Wheel with  $n+1$  vertices

$K_n$  = Complete with  $n$  vertices

$Q_n$  =  $n$ -dimensional cube

( $K_3$  triangle,  $K_4$  tetrahedron

$Q_2$  square,  $Q_3$  cube)

$B_n$  = Full  $n$ -level Binary Tree

$D_n$  = Dihedron on  $n$ -polygon.

**Degree of a vertex:**  $\deg(v)$  = number of edge endings at the vertex,  $v$ .

**Adjacency Matrix:**  $M_{ij}$  = #edge endings for edges joining vertices  $v_i$  and  $v_j$ , for a graph with vertices  $V = \{v_i\}$ . (Note: diagonal is twice # loops at  $v$ )

**Theorem:**  $\sum \deg(v_i) = 2\#(E) = 2(\# \text{ of edges})$

$$\deg(v_i) = \sum M_{ij} = \text{sum of } i^{\text{th}} \text{ row} = \sum M_{ji} = \text{sum of } i^{\text{th}} \text{ column}$$



**Formal Definition:** A **Graph** consists of  $\langle V, E, \text{end} \rangle$ , where :

- (i) Finite Set of Vertices,  $V$  ; (ii) Finite Set of edges,  $E$  ;
- (iii) Function,  $\text{end}: E \rightarrow V \times V$  (product for di-graph); or  
 $\text{end}: E \rightarrow V \bullet V$  (symmetric product for graph).

A graph is **simple** if  $\text{end}$  is 1-1 and with  $\text{range} \cap \Delta = \emptyset = \{ \}$ , where  $\Delta =$  “**diagonal**” =  $\{ (v, v) \}$  or  $\{ \{v, v\} \}$  for directed and undirected, respectively. For simple graphs,  $E$  may be defined (viewed) as the **range** of  $\text{end}$ .

Definition: Two graphs,  $\langle V_1, E_1, \text{end}_1 \rangle$  and  $\langle V_2, E_2, \text{end}_2 \rangle$  are **isomorphic** (same graph with different pictures) iff there are bijections:

$$\varphi: V_1 \rightarrow V_2 \quad \text{and} \quad \psi: E_1 \rightarrow E_2$$

which make the diagram “**commute**”:

$$\begin{array}{ccc} E_1 & \rightarrow & V_1 \times V_1 \text{ or } V_1 \bullet V_1 \\ \Psi \downarrow & \rightleftarrows & \downarrow \varphi \times \varphi \text{ or } \varphi \bullet \varphi \\ E_2 & \rightarrow & V_2 \times V_2 \text{ or } V_2 \bullet V_2 \end{array}$$

Such a pair of bijections is called an **isomorphism** (bijection of the vertices which preserves edges). An isomorphism of a graph with itself is an **automorphism**. The set of all automorphisms for a graph is the **automorphism group** of the graph.

Example: For  $K_4$ , there are  $4! = 24$  automorphisms  
 ( or only 12 if physically impossible reflections excluded )  
 For  $Q_3$  and  $D_4$ , there are **48** automorphisms.

**Euler Paths and Circuits:**

**Euler path (EP):** traverses every **edge** exactly once.

**Euler circuit (EC):** circuit which is an Euler path.

**Euler's Theorem:** A connected graph has an:

- EC if & only if all vertices have even degree.
- EP if & only if exactly 2 vertices have odd degree.

**Flery's Algorithm** (“don’t disconnect”) finds an EC or EP as above.

**Eulerizing a graph** adds multiple edges until all vertices are even.

## Hamilton Paths and Circuits:

**Hamilton path** (HP): includes every **vertex** exactly once.

**Hamilton circuit** (HC): circuit which is also a Hamilton path.

**Dirac's** and **Ore's** Theorem give sufficient existence criteria for HCs.

**Dijkstra's Algorithm:** finds shortest path joining any two vertices in a weighted simple connected graph.

**Traveling Salesman Problem (TSP):** For a weighted graph, find the Hamilton circuit of minimum total weight.

**Brute Force Algorithm** is  $\Theta((n-1)!)$  complexity.

**Nearest-Neighbor & Cheapest-Link Approx-Algorithms** are  $\Theta(n^2)$ .

## Planar Graphs :

**Planar graphs** are connected simple graphs which may be drawn in the plane without crossing edges (edges may be curves or lines). (Any graph may be drawn in 3 dimensions without crossing edges.)

A **region** of a planar graph is the area enclosed by a simple circuit or the area exterior to the graph.

**Theorem:** Letting let  $v = \#(V)$  ,  $e = \#(E)$  , and  $f = \#(\text{regions})$ , then the **Euler Characteristic**,  $\chi = v - e + f = 2$  , for any planar graph.

This result is also true for simple polyhedron where regions are faces. A polyhedral torus or donut is not simple and  $\chi = v - e + f = 0$ .

## Map Colorings

A simple map may be viewed as a planar graph with vertices the nations and edges the borders. A map **coloring** of a planar graph assigns a color to each nation so that nations with a common border are different colors. A map which may be colored with two colors is **bi-partite**.

**Four Color Theorem** asserts that any simple map may be colored with only 4 colors. It was proven only by using a computer.